

08-02-01

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**PATENT APPLICATION TRANSMITTAL LETTER**  
(Small Entity)

Docket No.  
**D-1548**

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

**Peter Stricker**

For: **DISTRIBUTED GENERATION DRIVETRAIN (DGD) CONTROLLER FOR APPLICATION TO WIND  
TURBINE AND OCEAN CURRENT TURBINE GENERATORS**

Enclosed are:

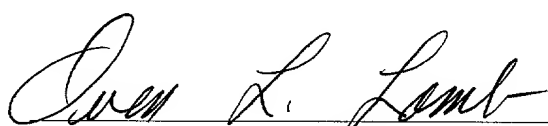
- ☒ Certificate of Mailing with Express Mail Mailing Label No. **EE 674751017 US**
- ☒ **5 informal** sheets of drawings.
- ☐ A certified copy of a \_\_\_\_\_ application.
- ☒ Declaration ☒ Signed. ☐ Unsigned.
- ☐ Power of Attorney
- ☐ Information Disclosure Statement
- ☐ Preliminary Amendment
- ☒ **Previously filed** Verified Statement(s) to Establish Small Entity Status Under 37 C.F.R. 1.9 and 1.27.
- ☐ Other:

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
<b>Total Claims</b>	6	- 20 =	0	x \$9.00	\$0.00
<b>Indep. Claims</b>	2	- 3 =	0	x \$39.00	\$0.00
<b>Multiple Dependent Claims (check if applicable)</b> <input type="checkbox"/>					\$0.00
<b>BASIC FEE</b>					\$355.00
<b>TOTAL FILING FEE</b>					\$355.00

- ☒ A check in the amount of **\$355.00** to cover the filing fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
  - ☐ Charge the amount of \_\_\_\_\_ as filing fee.
  - ☐ Credit any overpayment.
  - ☐ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
  - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: **July 31, 2001**

  
Signature

**Owen L. Lamb, Reg. # 20831**  
**Att'orney of Record**  
**(805) 689-5666**

CC:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Peter Stricker**

Docket No.

**D-1548**

Serial No.

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Filing Date

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Examiner

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Group Art Unit

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Invention: **DISTRIBUTED GENERATION DRIVETRAIN (DGD) CONTROLLER FOR APPLICATION TO WIND TURBINE AND OCEAN CURRENT TURBINE GENERATORS**

I hereby certify that this **United States Patent Application**

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under

37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231

on **July 31, 2001**

(Date)

**Owen L. Lamb**

(Type or Printed Name of Person Mailing Correspondence)

*Owen L. Lamb*

(Signature of Person Mailing Correspondence)

**EE 674751017 US**

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.